Origin of the Harmonic Oscillator Selection Rule

Figure SR-1 shows the harmonic oscillator potential and several allowed energy levels. The harmonic oscillator wave functions are

$$\psi_n(x) = C_n e^{-x^2} H_n(x)$$
 SR-1

where $x = y\sqrt{m\omega/2\hbar}$, C_n is a normalization constant, and $H_n(x)$ are the Hermite

polynomials whose generating function is

$$H_n(x) = (-1)^n e^{-x^2} \frac{d^n e^{-x^2}}{dx^n}$$
 SR-2

In order to show that

$$\int_{-\infty}^{+\infty} \psi_n^* x \, \psi_m dx = 0 \quad \text{unless} \quad n = m \, \pm \, 1$$

it is first necessary to show that the $H_n(x)$ are orthogonal. We do this by considering two generating functions:

$$A(x,\alpha) = \sum_{n} \frac{H_n(x)}{n!} \alpha^n = e^{-x^2 - (\alpha - x)^2}$$

$$B(x,\beta) = \sum_{m} \frac{H_m(x)}{m!} \beta^m = e^{-x^2 - (\beta - x)^2}$$
SR-3

From Equation SR-3 we can write

$$\int_{-\infty}^{+\infty} ABe^{-x^{2}} dx = \sum_{n} \sum_{m} \alpha^{n} \beta^{m} \int_{-\infty}^{+\infty} \frac{H_{n}(x) H_{m}(x)}{n! m!} e^{-x^{2}} dx$$

$$= \int_{-\infty}^{+\infty} e^{-\alpha^{2} - \beta^{2} + 2\alpha x + 2\beta x - x^{2}} dx = e^{2\alpha \beta} \int_{-\infty}^{+\infty} e^{-(x - \alpha - \beta)^{2}} d(x - \alpha - \beta)$$

$$= \sqrt{\pi} e^{2\alpha \beta} = \sqrt{\pi} \left(1 + \frac{2\alpha \beta}{1!} + \frac{(2\alpha \beta)^{2}}{2!} + \frac{(2\alpha \beta)^{3}}{3!} + \cdots + \frac{(2\alpha \beta)^{n}}{n!} + \cdots \right)$$

We can see from the coefficients of the $\alpha\beta$ terms in the expansion that $\int_{-\infty}^{+\infty} ABe^{-x^2} dx = 0$ unless n = m; hence

$$\int_{-\pi}^{+\infty} H_n(x) H_m(x) e^{-x^2} = 0 \quad \text{for } n \neq m$$
 SR-4

This result now makes it possible to evaluate integrals that involve the harmonic oscillator wave functions $\psi_n(x)$ given by Equation SR-1. For example, $P_{n\,m}$, the probability for a transition between the states n and m, is given by

$$P_{nm} = \int_{-\infty}^{+\infty} \psi_n(x) x \, \psi_m(x) \, dx$$
$$= C_n C_m \int_{-\infty}^{+\infty} H_n(x) H_m(x) e^{-x^2} x \, dx$$

Again using the generating functions A and B,

$$\int_{-\infty}^{+\infty} ABe^{-x^2} x dx = \sum_{n} \sum_{m} \alpha^n \beta^m \int_{-\infty}^{+\infty} \frac{H_n(x) H_m(x)}{n! m!} x e^{-x^2} dx$$
$$= e^{2\alpha\beta} \int_{-\infty}^{+\infty} e^{-(x-\alpha-\beta)^2} x dx$$

$$\int_{-\infty}^{+\infty} ABe^{-x^2}x dx = e^{2\alpha\beta} \int_{-\infty}^{+\infty} e^{-(x-\alpha-\beta)^2} (x-\alpha-\beta) d(x-\alpha-\beta)$$

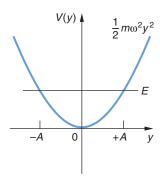
$$+e^{2\alpha\beta}(\alpha+\beta)\int_{-\infty}^{+\infty}e^{-(x-\alpha-\beta)^2}d(x-\alpha-\beta)$$
 SR-5

The first integral on the right side of Equation SR-5 is zero (since $e^{-(x-\alpha-\beta)^2}(x-\alpha-\beta)$ is an odd function). The second integral on the right equals $\sqrt{\pi}$, as we saw above. Expanding $e^{2\alpha\beta}$ as before, we have

$$\int_{-\infty}^{+\infty} ABe^{-x^{2}}x dx = \sqrt{\pi} \left(\alpha + \frac{2\alpha^{2}\beta}{1!} + \frac{2^{2}\alpha^{3}\beta^{2}}{2!} + \cdots + \frac{2^{n}\alpha^{n+1}\beta n}{n!} + \cdots + \beta + \frac{2\alpha\beta^{2}}{1!} + \frac{2^{2}\alpha^{2}\beta^{3}}{2!} + \cdots + \frac{2^{m}\alpha^{m}\beta^{m+1}}{m!} + \cdots \right)$$

SR-6

Again, examining the coefficients of the $\alpha^n \beta^m$ terms in Equation SR-6 we see that $P_{nm} = 0$ except when $n = m \pm 1$. Thus, transitions between energy levels within the harmonic oscillator potential can only occur between adjacent levels, that is, for $\Delta n = \pm 1$.



SR-1 Potential energy function V(y) for a simple harmonic oscillator such as a pendulum moving on the y axis. A possible total energy E is indicated. The points at $\pm A$ are the classical turning points, that is, the displacement positions at which a classical oscillator with energy E would reverse its direction of motion.